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COMMISSION OF THE EUROPEAN COMMUNITIES

Brussels, 20.11.2009  
COM(2009)634 final

2009/0176 (COD)

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**

**on common rules for the allocation of slots at Community airports**

(Codified version)

## EXPLANATORY MEMORANDUM

1. In the context of a people's Europe, the Commission attaches great importance to simplifying and clarifying Community law so as to make it clearer and more accessible to the ordinary citizen, thus giving him new opportunities and the chance to make use of the specific rights it gives him.

This aim cannot be achieved so long as numerous provisions that have been amended several times, often quite substantially, remain scattered, so that they must be sought partly in the original instrument and partly in later amending ones. Considerable research work, comparing many different instruments, is thus needed to identify the current rules.

For this reason a codification of rules that have frequently been amended is also essential if Community law is to be clear and transparent.

2. On 1 April 1987 the Commission therefore decided<sup>1</sup> to instruct its staff that all legislative acts should be codified after no more than ten amendments, stressing that this is a minimum requirement and that departments should endeavour to codify at even shorter intervals the texts for which they are responsible, to ensure that the Community rules are clear and readily understandable.
3. The Conclusions of the Presidency of the Edinburgh European Council (December 1992) confirmed this<sup>2</sup>, stressing the importance of codification as it offers certainty as to the law applicable to a given matter at a given time.

Codification must be undertaken in full compliance with the normal Community legislative procedure.

Given that no changes of substance may be made to the instruments affected by codification, the European Parliament, the Council and the Commission have agreed, by an interinstitutional agreement dated 20 December 1994, that an accelerated procedure may be used for the fast-track adoption of codification instruments.

4. The purpose of this proposal is to undertake a codification of Council Regulation (EEC) No 95/93 of 18 January 1993 on common rules for the allocation of slots at Community airports<sup>3</sup>. The new Regulation will supersede the various acts incorporated in it<sup>4</sup>; this proposal fully preserves the content of the acts being codified and hence does no more than bring them together with only such formal amendments as are required by the codification exercise itself.

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<sup>1</sup> COM(87) 868 PV.

<sup>2</sup> See Annex 3 to Part A of the Conclusions.

<sup>3</sup> Carried out pursuant to the Communication from the Commission to the European Parliament and the Council – Codification of the Acquis communautaire, COM(2001) 645 final.

<sup>4</sup> See Annex I to this proposal.

5. The codification proposal was drawn up on the basis of a preliminary consolidation, in all official languages, of Regulation (EEC) No 95/93 and the instruments amending it, carried out by the Office for Official Publications of the European Communities, by means of a data-processing system. Where the Articles have been given new numbers, the correlation between the old and the new numbers is shown in a table set out in Annex II to the codified Regulation.

Proposal for a

**REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL**  
**on common rules for the allocation of slots at Community airports (codified version)**

**(Text with EEA relevance)**

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article  
☒ 80 (2) ☒ thereof,

Having regard to the proposal from the Commission,

Having regard to the opinion of the European Economic and Social Committee<sup>5</sup>,

Having regard to the opinion of the Committee of the Regions<sup>6</sup>,

Acting in accordance with the procedure laid down in Article 251 of the Treaty<sup>7</sup>,

Whereas:



- (1) Council Regulation (EEC) No 95/93 of 18 January 1993 on common rules for the allocation of slots at Community airports<sup>8</sup> has been substantially amended several times<sup>9</sup>. In the interests of clarity and rationality the said Regulation should be codified.

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↓ 95/93 Recital 1

- (2) There is a growing imbalance between the expansion of the air transport system in Europe and the availability of adequate airport infrastructure to meet that demand. There is, as a result, an increasing number of congested airports in the Community.

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<sup>5</sup> OJ C [...], [...], p. [...].

<sup>6</sup> OJ C [...], [...], p. [...].

<sup>7</sup> OJ C [...], [...], p. [...].

<sup>8</sup> OJ L 14, 22.1.1993, p. 1.

<sup>9</sup> See Annex I.

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↓ 95/93 Recital 2

- (3) The allocation of slots at congested airports should be based on neutral, transparent and non-discriminatory rules.
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↓ 95/93 Recital 3

- (4) The requirement of neutrality is best guaranteed when the decision to coordinate an airport is taken by the Member State responsible for that airport on the basis of objective criteria.
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↓ 95/93 Recital 4

- (5) Under certain conditions, in order to facilitate operations, a Member State should be able to designate an airport as coordinated provided that principles of transparency, neutrality and non-discrimination are followed.
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↓ 95/93 Recital 5

- (6) The Member State responsible for the coordinated airport should ensure the appointment of a coordinator whose neutrality should be unquestioned.
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↓ 95/93 Recital 6

- (7) Transparency of information is an essential element for ensuring an objective procedure for slot allocation.
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↓ 95/93 Recital 7

- (8) The principles governing the existing system of slot allocation could be the basis of this Regulation provided that this system evolves in harmony with the evolution of new transport developments in the Community.
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↓ 95/93 Recital 8

- (9) It is Community policy to facilitate competition and to encourage entrance into the market, as provided for in Regulation (EC) No 1008/2008 of the European Parliament and of the Council of 24 September 2008 on common rules for the operation of air services in the Community<sup>10</sup>, and these objectives require strong support for carriers who intend to start operations on intra-Community routes.
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↓ 95/93 Recital 9

- (10) The existing system makes provision for grandfather rights.
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<sup>10</sup> OJ L 293, 31.10.2008, p. 3.

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↓ 95/93 Recital 10 (adapted)

- (11) Provisions to allow new entrants into the Community market  should also be laid down .
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↓ 95/93 Recital 11

- (12) It is necessary to make special provisions, under limited circumstances, for the maintenance of adequate domestic air services to regions of the Member State concerned.
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↓ 95/93 Recital 12

- (13) It is also necessary to avoid situations where, owing to a lack of available slots, the benefits of liberalisation are unevenly spread and competition is distorted.
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↓ 95/93 Recital 13

- (14) It is desirable to make the best use of the existing slots in order to meet the objectives set out above.
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↓ 95/93 Recital 14

- (15) It is desirable that third countries offer Community carriers equivalent treatment.
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↓ 95/93 Recital 15 (adapted)

- (16) The application of the provisions of this Regulation  should  be without prejudice to the competition rules of the Treaty, in particular Articles 81 and 82.
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↓ 95/93 Recital 16 (adapted)

- (17) Arrangements for greater cooperation over the use of Gibraltar airport were agreed in London on 2 December 1987 by Spain and the United Kingdom in a joint declaration by the Ministers of Foreign Affairs of the two Member States, and such arrangements have yet to come into operation.
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- (18) The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission<sup>11</sup>.

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<sup>11</sup> OJ L 184, 17.7.1999, p. 23.

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↓ 95/93 Recital 17

(19) This Regulation should be reviewed after a fixed period of operation to assess its functioning,

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↓ 95/93

HAVE ADOPTED THIS REGULATION:

*Article 1*

**Scope**

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↓ 793/2004 Art. 1 pt. 1

1. This Regulation shall apply to Community airports.

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↓ 95/93 (adapted)

2. The application of this Regulation to the airport of Gibraltar is understood to be without prejudice to the respective legal positions of Spain and the United Kingdom with regard to the dispute over sovereignty over the territory in which the airport is situated.

3. Application of the provisions of this Regulation to Gibraltar airport shall be suspended until the arrangements in the joint declarations made by the Foreign Ministers of Spain and the United Kingdom on 2 December 1987 have come into operation. The Governments of Spain and the United Kingdom will so inform the Council of that date.

*Article 2*

**Definitions**

For the purposes of this Regulation:

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↓ 793/2004 Art. 1 pt. 2(a)

- (a) ‘slot’ means the permission given by a coordinator in accordance with this Regulation to use the full range of airport infrastructure necessary to operate an air service at a coordinated airport on a specific date and time for the purpose of landing or take-off as allocated by a coordinator in accordance with this Regulation;
- (b) ‘new entrant’ means:
- (i) an air carrier requesting, as part of a series of slots, a slot at an airport on any day, where, if the carrier's request were accepted, it would in total hold fewer than five slots at that airport on that day; or

- (ii) an air carrier requesting a series of slots for a non-stop scheduled passenger service between two Community airports where at most two other air carriers operate the same non-stop scheduled service between those airports or airport systems on that day, where, if the air carrier's request were accepted, the air carrier would nonetheless hold fewer than five slots at that airport on that day for that non-stop service; or
- (iii) an air carrier requesting a series of slots at an airport for a non-stop scheduled passenger service between that airport and a regional airport where no other air carrier operates a direct scheduled passenger service between those airports or airport systems on that day, where, if the air carrier's request were accepted, the air carrier would nonetheless hold fewer than five slots at that airport on that day for that non-stop service.

An air carrier holding more than 5 % of the total slots available on the day in question at a particular airport, or more than 4 % of the total slots available on the day in question in an airport system of which that airport forms part, shall not be considered as a new entrant at that airport;

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↓ 95/93

- (c) 'direct air service' means a service between two airports including stopovers with the same aircraft and same flight number;
- (d) 'scheduling period' means either the summer or winter season as used in the schedules of air carriers;
- (e) 'Community air carrier' means an air carrier with a valid operating licence issued by a Member State in accordance with Regulation (EC) No 1008/2008;

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↓ 793/2004 Art. 1 pt. 2(b)

- (f)
  - (i) 'air carrier' means an air transport undertaking holding a valid operating licence or equivalent at the latest on 31 January for the following summer season or on 31 August for the following winter season; for the purposes of Articles 4, 8, 9 and 12, the definition of 'air carrier' shall also include business aviation operators, when they operate according to a schedule; for the purposes of Articles 7 and 17, the definition of 'air carrier' shall also include all civil aircraft operators;
  - (ii) 'group of air carriers' means two or more air carriers which together perform joint operations, franchise operations or code-sharing for the purpose of operating a specific air service;
- (g) 'coordinated airport' means any airport where, in order to land or take off, it is necessary for an air carrier or any other aircraft operator to have been allocated a slot by a coordinator, with the exception of State flights, emergency landings and humanitarian flights;

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↓ 95/93 (adapted)

- (h) ‘airport system’ means two or more airports grouped together and serving the same city or conurbation;
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↓ 793/2004 Art. 1 pt. 2(c)

- (i) ‘schedules facilitated airport’ means an airport where there is potential for congestion at certain periods of the day, week or year which is amenable to resolution by voluntary cooperation between air carriers and where a schedules facilitator has been appointed to facilitate the operations of air carriers operating services or intending to operate services at that airport;
- (j) ‘managing body of an airport’ means the body which, in conjunction with other activities or otherwise, has the task under national laws or regulations of administering and managing the airport facilities and coordinating and controlling the activities of the various operators present at the airport or within the airport system concerned;
- (k) ‘series of slots’ means at least five slots having been requested for the same time on the same day of the week regularly in the same scheduling period and allocated in that way or, if that is not possible, allocated at approximately the same time;
- (l) ‘business aviation’ means that sector of general aviation which concerns the operation or use of aircraft by companies for the carriage of passengers or goods as an aid to the conduct of their business, where the aircraft are flown for purposes generally considered not for public hire and are piloted by individuals having, at a minimum, a valid commercial pilot licence with an instrument rating;
- (m) ‘coordination parameters’ means the expression in operational terms of all the capacity available for slot allocation at an airport during each coordination period, reflecting all technical, operational and environmental factors that affect the performance of the airport infrastructure and its different sub-systems.
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↓ 95/93

### *Article 3*

#### **Conditions for airport coordination**

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↓ 793/2004 Art. 1 pt. 3(a)  
(adapted)

1. ☒ Member States ☒ shall be under no obligation to designate any airport as schedules facilitated or coordinated save in accordance with the provisions of this Article.

☒ Member States ☒ shall not designate an airport as coordinated save in accordance with the provisions of paragraph 3.

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↓ 95/93  
→<sub>1</sub> 793/2004 Art. 1 pt. 3(b)

2. A Member State may, however, provide for any airport to be designated as a →<sub>1</sub> schedules facilitated airport ← provided that principles of transparency, neutrality and non-discrimination are met.

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↓ 793/2004 Art. 1 pt. 3(c)

3. The Member State responsible shall ensure that a thorough capacity analysis is carried out at an airport with no designation status or at a schedules facilitated airport by the managing body of that airport or by any other competent body when that Member State considers it necessary, or within six months:

- (i) following a written request from air carriers representing more than half of the operations at an airport or from the managing body of the airport when either considers that capacity is insufficient for actual or planned operations at certain periods; or
- (ii) upon request from the Commission, in particular where an airport is in reality accessible only for air carriers that have been allocated slots or where air carriers and in particular new entrants encounter serious problems in securing landing and take off possibilities at the airport in question.

This analysis, based on commonly recognised methods, shall determine any shortfall in capacity, taking into account environmental constraints at the airport in question. The analysis shall consider the possibilities of overcoming such shortfall through new or modified infrastructure, operational changes, or any other change, and the time frame envisaged to resolve the problems. It shall be updated if paragraph 5 has been invoked, or when there are changes at the airport influencing significantly its capacity and capacity usage. Both the analysis and the method used shall be made available to the parties having requested the analysis and, upon request, to other interested parties. The analysis shall be communicated to the Commission at the same time.

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↓ 793/2004 Art. 1 pt. 3(d)

4. On the basis of the analysis, the Member State shall consult on the capacity situation at the airport with the managing body of the airport, the air carriers using the airport regularly, their representative organisations, representatives of general aviation using the airport regularly and air traffic control authorities.

5. Where capacity problems occur for at least one scheduling period, the Member State shall ensure that the airport is designated as coordinated for the relevant periods only if:

- (a) the shortfall is of such a serious nature that significant delays cannot be avoided at the airport, and
- (b) there are no possibilities of resolving these problems in the short term.

6. By way of derogation from paragraph 5, Member States may, in exceptional circumstances, designate as coordinated the airports affected for the appropriate period.

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↓ 95/93  
→<sub>1</sub> 793/2004 Art. 1 pt. 3(e)

→<sub>1</sub> 7. ← When a capacity sufficient to meet actual or planned operations is provided at a →<sub>1</sub> coordinated airport ←, its designation as a →<sub>1</sub> coordinated airport ← shall be lifted.

#### Article 4

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↓ 793/2004 Art. 1 pt. 4(a)

#### The schedules facilitator and the coordinator

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↓ 793/2004 Art. 1 pt. 4(b)

1. The Member State responsible for a schedules facilitated or coordinated airport shall ensure the appointment of a qualified natural or legal person as schedules facilitator or airport coordinator respectively after having consulted the air carriers using the airport regularly, their representative organisations and the managing body of the airport and the coordination committee, where such a committee exists. The same schedules facilitator or coordinator may be appointed for more than one airport.

2. The Member State responsible for a schedules facilitated or coordinated airport shall ensure:

- (a) that at a schedules facilitated airport, the schedules facilitator acts under this Regulation in an independent, neutral, non-discriminatory and transparent manner;
- (b) the independence of the coordinator at a coordinated airport by separating the coordinator functionally from any single interested party. The system of financing the coordinators' activities shall be such as to guarantee the coordinator's independent status;
- (c) that the coordinator acts according to this Regulation in a neutral, non-discriminatory and transparent way.

3. The schedules facilitator and the coordinator shall participate in such international scheduling conferences of air carriers as are permitted by Community law.

4. The schedules facilitator shall advise air carriers and recommend alternative arrival and/or departure times when congestion is likely to occur.

5. The coordinator shall be the sole person responsible for the allocation of slots. He shall allocate the slots in accordance with the provisions of this Regulation and shall make provision so that, in an emergency, slots can also be allocated outside office hours.

6. The schedules facilitator shall monitor the conformity of air carriers' operations with the schedules recommended to them. The coordinator shall monitor the conformity of air carriers'

operations with the slots allocated to them. These conformity checks shall be carried out in cooperation with the managing body of the airport and with the air traffic control authorities and shall take into account the time and other relevant parameters relating to the airport concerned. The coordinator shall submit on request to the Member States concerned and to the Commission an annual activity report, concerning, in particular, the application of Articles 9 and 17, as well as any complaints regarding the application of Articles 8 and 12 submitted to the coordination committee and the steps taken to resolve them.

7. All schedules facilitators and coordinators shall cooperate to detect inconsistencies in schedules.

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↓ 95/93  
→<sub>1</sub> 793/2004 Art. 1 pt. 4(c)

→<sub>1</sub> 8. The coordinator shall on request and within a reasonable time make available free of charge for review to interested parties, in particular to members or observers of the coordination committee, either in written form or in any other easily accessible form, the following information: ←

- (a) historical slots by airline, chronologically, for all air carriers at the airport,
  - (b) requested slots (initial submissions), by air carriers and chronologically, for all air carriers,
  - (c) all allocated slots, and outstanding slot requests, listed individually in chronological order, by air carriers, for all air carriers,
  - (d) remaining available slots,
  - (e) full details on the criteria being used in the allocation.
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↓ 793/2004 Art. 1 pt. 4(d)

9. The information referred to in paragraph 8 shall be made available at the time of the relevant scheduling conferences at the latest and as appropriate during the conferences and thereafter. On request, the coordinator shall provide such information in a summarised format. A cost-related fee may be charged for the provision of such summarised information.

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↓ 793/2004 Art. 1 pt. 4(e)

10. Where relevant and generally accepted schedules information standards are available, the schedules facilitator, the coordinator and the air carriers shall apply them provided that they comply with Community law.

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↓ 793/2004 Art. 1 pt. 5

#### *Article 5*

#### **Coordination committee**

1. At a coordinated airport, the Member State responsible shall ensure that a coordination committee is set up. The same coordination committee may be designated for more than one airport. Membership of this committee shall be open at least to the air carriers using the airport(s) in question regularly and their representative organisations, the managing body of the airport concerned, the relevant air traffic control authorities and the representatives of general aviation using the airport regularly.

The tasks of the coordination committee shall be:

- (a) to make proposals concerning or advise the coordinator and/or the Member State on:
  - (i) the possibilities for increasing the capacity of the airport determined in accordance with Article 3 or for improving its usage;
  - (ii) the coordination parameters to be determined in accordance with Article 6;
  - (iii) the methods of monitoring the use of allocated slots;
  - (iv) local guidelines for the allocation of slots or the monitoring of the use of allocated slots, taking into account, inter alia, possible environmental concerns, as provided for in Article 8(5);
  - (v) improvements to traffic conditions prevailing at the airport in question;
  - (vi) serious problems encountered by new entrants, as provided for in Article 12(9);
  - (vii) all questions relating to the capacity of the airport;
- (b) to mediate between all parties concerned on complaints on the allocation of slots, as provided for in Article 14.

2. Member State representatives and the coordinator shall be invited to the meetings of the coordination committee as observers.

3. The coordination committee shall draw up written rules of procedure covering, inter alia, participation, elections, the frequency of meetings, and language(s) used. Any member of the coordination committee may propose local guidelines as provided for in Article 8(5). At the request of the coordinator, the coordination committee shall discuss suggested local guidelines for the allocation of slots as well as those suggested for the monitoring of the use of allocated slots. A report of the discussions in the coordination committee shall be submitted to the Member State concerned with an indication of the respective positions stated within the committee.

#### *Article 6*

#### **Coordination parameters**

1. At a coordinated airport, the Member State responsible shall ensure the determination of the parameters for slot allocation twice yearly, while taking account of all relevant technical, operational and environmental constraints as well as any changes thereto.

This exercise shall be based on an objective analysis of the possibilities of accommodating the air traffic, taking into account the different types of traffic at the airport, the airspace congestion likely to occur during the coordination period and the capacity situation.

The parameters shall be communicated to the airport coordinator in good time before the initial slot allocation takes place for the purpose of scheduling conferences.

2. For the purpose of the exercise referred to in paragraph 1, where the Member State does not do so, the coordinator shall define relevant coordination time intervals after consultation of the coordination committee and in conformity with the established capacity.

3. The determination of the parameters and the methodology used as well as any changes thereto shall be discussed in detail within the coordination committee with a view to increasing the capacity and number of slots available for allocation, before a final decision on the parameters for slot allocation is taken. All relevant documents shall be made available on request to interested parties.

#### *Article 7*

##### **Information for schedules facilitators and coordinators**

1. Air carriers operating or intending to operate at a schedules facilitated or coordinated airport shall submit to the schedules facilitator or coordinator, respectively, all relevant information requested by them. All relevant information shall be provided in the format and within the time-limit specified by the schedules facilitator or coordinator. In particular, an air carrier shall inform the coordinator, at the time of the request for allocation, whether it would benefit from the status of new entrant, in accordance with Article 2(b), in respect of requested slots.

For all other airports with no particular designation status, the managing body of the airport shall provide, when requested by a coordinator, any information in its possession about the planned services of air carriers.

2. Where an air carrier fails to provide the information referred to in paragraph 1, unless it can satisfactorily demonstrate that mitigating circumstances exist, or provides false or misleading information, the coordinator shall not take into consideration the slot request or requests by that air carrier to which the missing, false or misleading information relates. The coordinator shall give that air carrier the opportunity to submit its observations.

3. The schedules facilitator or the coordinator, the managing body of the airport and the air traffic control authorities shall exchange all the information they require for the exercise of their respective duties, including flight data and slots.

#### *Article 8*

##### **Process of slot allocation**

1. Series of slots are allocated from the slot pool to applicant carriers as permissions to use the airport infrastructure for the purpose of landing or take-off for the scheduling period for

which they are requested, at the expiry of which they have to be returned to the slot pool as set up according to the provisions of Article 12.

2. Without prejudice to Articles 7, 9, 11, 12(1) and 17, paragraph 1, of this Article shall not apply when the following conditions are satisfied:

- (a) a series of slots has been used by an air carrier for the operation of scheduled and programmed non-scheduled air services, and
- (b) that air carrier can demonstrate to the satisfaction of the coordinator that the series of slots in question has been operated, as cleared by the coordinator, by that air carrier for at least 80 % of the time during the scheduling period for which it has been allocated.

In such a case, that series of slots shall entitle the air carrier concerned to the same series of slots in the next equivalent scheduling period, if requested by that air carrier within the time-limit referred to in Article 7(1).

3. Without prejudice to Article 12(2), in a situation where all slot requests cannot be accommodated to the satisfaction of the air carriers concerned, preference shall be given to commercial air services and in particular to scheduled services and programmed non-scheduled air services. In the case of competing requests within the same category of services, priority shall be given for year-round operations.

4. Re-timing of series of slots before the allocation of the remaining slots from the pool referred to in Article 12 to the other applicant air carriers shall be accepted only for operational reasons or if slot timings of applicant air carriers would be improved in relation to the timings initially requested. It shall not take effect prior to the express confirmation by the coordinator.

5. The coordinator shall also take into account additional rules and guidelines established by the air transport industry world-wide or Community-wide as well as local guidelines proposed by the coordination committee and approved by the Member State or any other competent body responsible for the airport in question, provided that such rules and guidelines do not affect the independent status of the coordinator, comply with Community law and aim at improving the efficient use of airport capacity. These rules shall be communicated by the Member State in question to the Commission.

6. If a requested slot cannot be accommodated, the coordinator shall inform the requesting air carrier of the reasons therefore and shall indicate the nearest available alternative slot.

7. The coordinator shall, in addition to the planned slot allocation for the scheduling period, endeavour to accommodate single slot requests with short notice for any type of aviation, including general aviation. To this end, slots remaining in the pool referred to in Article 12 after distribution among the applicant carriers and slots available at short notice may be used.

#### *Article 9*

#### **Slot mobility**

1. Slots may be:

- (a) transferred by an air carrier from one route or type of service to another route or type of service operated by that same air carrier;
- (b) transferred:
  - (i) between parent and subsidiary companies, and between subsidiaries of the same parent company;
  - (ii) as part of the acquisition of control over the capital of an air carrier;
  - (iii) in the case of a total or partial take-over when the slots are directly related to the air carrier taken over;
- (c) exchanged, one for one, between air carriers.

2. The transfers or exchanges referred to in paragraph 1 shall be notified to the coordinator and shall not take effect prior to the express confirmation by the coordinator. The coordinator shall decline to confirm the transfers or exchanges if they are not in conformity with the requirements of this Regulation and if the coordinator is not satisfied that:

- (a) airport operations would not be prejudiced, taking into account all technical, operational and environmental constraints;
- (b) limitations imposed according to Article 11 are respected;
- (c) a transfer of slots does not fall within the scope of paragraph 3.

3. Slots allocated to a new entrant as defined in Article 2(b) may not be transferred as provided for in paragraph 1(b) of this Article for a period of two equivalent scheduling periods, except in the case of a legally authorised takeover of the activities of a bankrupt undertaking.

Slots allocated to a new entrant as defined in Article 2(b)(ii) and (iii) may not be transferred to another route as provided for in paragraph 1(a) of this Article for a period of two equivalent scheduling periods unless the new entrant would have been treated with the same priority on the new route as on the initial route.

Slots allocated to a new entrant as defined in Article 2(b) may not be exchanged as provided for in paragraph 1(c) of this Article for a period of two equivalent scheduling periods, except in order to improve the slot timings for these services in relation to the timings initially requested.

#### *Article 10*

#### **Exclusion of compensation claims**

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↓ 793/2004 Art. 1 pt. 5 (adapted)

The entitlement to series of slots referred to in Article 8(2) shall not give rise to any claims for compensation in respect of any limitation, restriction or elimination thereof imposed under Community law, in particular in application of the rules of the Treaty relating to air transport.

This Regulation shall not affect the powers of public authorities to require the transfer of slots between air carriers and to direct how these are allocated pursuant to national competition law or to Articles 81 or 82 of the Treaty or Council Regulation (EC) No 139/2004<sup>12</sup>. These transfers can only take place without monetary compensation.

### *Article 11*

#### **Public service obligations**

1. Where public service obligations have been imposed on a route in accordance with Article 16 of Regulation (EC) No 1008/2008, a Member State may reserve the slots required for the operations envisaged on that route at a coordinated airport. If the reserved slots on the route concerned are not used, they shall be made available to any other air carrier interested in operating the route in accordance with the public service obligations, subject to paragraph 2. If no other carrier is interested in operating the route and the Member State concerned does not issue a call for tenders under Article 16(10) of Regulation (EC) No 1008/2008, the slots shall either be reserved for another route subject to public service obligations or be returned to the pool.

2. The tender procedure established in Article 16(10), Article 17(3) to (7) and Article 18(1) of Regulation (EC) No 1008/2008 shall be applied for the use of the slots referred to in paragraph 1  of this Article  if more than one Community air carrier is interested in serving the route and has not been able to obtain slots within one hour before or after the times requested from the coordinator.

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| ↓ 793/2004 Art. 1 pt. 6 (adapted) |
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### *Article 12*

#### **Slot pool**

1. The coordinator shall set up a pool, which shall contain all the slots not allocated on the basis of Article 8(2) and 8(4). All new slot capacity determined pursuant to Article 3(3) shall be placed in the pool.

2. A series of slots that has been allocated to an air carrier for the operation of a scheduled or a programmed non-scheduled air service shall not entitle that air carrier to the same series of slots in the next equivalent scheduling period if the air carrier cannot demonstrate to the satisfaction of the coordinator that they have been operated, as cleared by the coordinator, by that air carrier for at least 80 % of the time during the scheduling period for which they have been allocated.

3. Slots allocated to an air carrier before 31 January for the following summer season, or before 31 August for the following winter season, but which are returned to the coordinator for reallocation before those dates shall not be taken into account for the purposes of the usage calculation.

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<sup>12</sup> OJ L 24, 29.1.2004, p. 1.

4. If the 80 % usage of the series of slots cannot be demonstrated, all the slots constituting that series shall be placed in the slot pool, unless the non-utilisation can be justified on the basis of any of the following reasons:

- (a) unforeseeable and unavoidable circumstances outside the air carrier's control leading to:
  - (i) grounding of the aircraft type generally used for the air service in question;
  - (ii) closure of an airport or airspace;
  - (iii) serious disturbance of operations at the airports concerned, including those series of slots at other Community airports related to routes which have been affected by such disturbance, during a substantial part of the relevant scheduling period;
- (b) interruption of air services due to action intended to affect these services which makes it practically and/or technically impossible for the air carrier to carry out operations as planned;
- (c) serious financial damage for a Community air carrier concerned, resulting in the granting of a temporary licence by the licensing authorities pending financial reorganisation of the air carrier in accordance with Article 9(1) of Regulation (EC) No 1008/2008;
- (d) judicial proceedings concerning the application of Article 11 for routes where public service obligations have been imposed according to Article 16 of Regulation (EC) No 1008/2008 resulting in the temporary suspension of the operation of such routes.

5. At the request of a Member State or on its own initiative, the Commission shall examine the application of paragraph 4 by the coordinator to an airport falling within the scope of this Regulation.

It shall take a decision within two months of receipt of the request in accordance with the procedure referred to in Article 16(2).

6. Without prejudice to Article 8(2) of this Regulation and without prejudice to Article 19(2) of Regulation (EC) No 1008/2008, slots placed in the pool shall be distributed among applicant air carriers. 50 % of these slots shall first be allocated to new entrants unless requests by new entrants are less than 50 %. The coordinator shall treat the requests of new entrants and other carriers fairly, in accordance with the coordination periods of each scheduling day.

Among requests from new entrants, preference shall be given to air carriers qualifying for new entrant status under both Article 2(b)(i) and (ii) or Article 2(b)(i) and (iii).

7. A new entrant which has been offered a series of slots within one hour before or after the time requested but has not accepted this offer shall not retain its new entrant status for that scheduling period.

8. In the case of services operated by a group of air carriers, only one of the participating air carriers can apply for the required slots. The air carrier operating such a service assumes

responsibility for meeting the operating criteria required to maintain historical precedence referred to in Article 8(2).

Slots allocated to one air carrier may be used by another air carrier  or by other air carriers  participating in a joint operation, provided that the designator code of the air carrier to whom the slots are allocated remains on the shared flight for coordination and monitoring purposes. Upon discontinuation of such operations, the slots so used will remain with the air carrier to whom they were initially allocated. Air carriers involved in shared operations shall advise coordinators of the detail of such operations prior to the beginning of such operations.

9. If serious problems continue to exist for new entrants, the Member State shall ensure that a meeting of the airport coordination committee is convened. The purpose of the meeting shall be to examine possibilities for remedying the situation. The Commission shall be invited to that meeting.

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↓ 545/2009 Art. 1(1)(adapted)  
(adapted)

#### *Article 13*

#### **Continued entitlement to series of slots**

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↓ 545/2009 Art. 1(1)

For the purpose of Article 12(2), coordinators shall accept that air carriers are entitled to the series of slots for the summer 2010 scheduling period that were allocated to them at the start of the summer 2009 scheduling period in accordance with this Regulation.

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↓ 793/2004 Art. 1 pt. 7

#### *Article 14*

#### **Complaints and rights of appeal**

1. Without prejudice to rights of appeal under national law, complaints regarding the application of Articles 7(2), 8, 9, 12 and 17(1) to (4) and (6) shall be submitted to the coordination committee. The committee shall, within a period of one month following submission of the complaint, consider the matter and if possible make proposals to the coordinator in an attempt to resolve the problem. If the complaint cannot be settled, the Member State responsible may, within a further two month period, provide for mediation by an air carriers' or airports' representative organisation or other third party.

2. Member States shall take appropriate measures, in accordance with national law, to protect coordinators with regard to claims for damages relating to their functions under this Regulation, save in cases of gross negligence or wilful misconduct.

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↓ 95/93

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*Article 15*

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↓ 793/2004 Art. 1 pt. 8

**Relations with third countries**

1. Whenever it appears that, with respect to the allocation and use of slots at its airports, a third country:

- (a) does not grant Community air carriers treatment comparable to that granted by this Regulation to air carriers from that country, or
- (b) does not grant Community air carriers de facto national treatment, or
- (c) grants air carriers from other third countries more favourable treatment than Community air carriers,

the Commission may, in accordance with the procedure referred to in Article 16(2), decide that a Member State or Member States shall take measures, including the suspension in whole or in part of the application of this Regulation in respect of an air carrier or air carriers of that third country with a view to remedying the discriminatory behaviour of the third country concerned.

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↓ 95/93

2. Member States shall inform the Commission of any serious difficulties encountered, in law or in fact, by Community air carriers in obtaining slots at airports in third countries.

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↓ 793/2004 Art. 1 pt. 9 (adapted)

*Article 16*

**Committee procedure**

1. The Commission shall be assisted by a committee.

2. Where reference is made to this paragraph, Articles 5 and 7 of Decision 1999/468/EC shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months.

3. The committee may also be consulted by the Commission on any other matter concerning application of this Regulation.

## *Article 17*

### **Enforcement**

1. An air carrier's flight plan may be rejected by the competent Air Traffic Management authorities if the air carrier intends to land or take off at a coordinated airport, during the periods for which it is coordinated, without having a slot allocated by the coordinator.
2. The coordinator shall withdraw the series of slots provisionally allocated to an air carrier in the process of establishing itself and place them in the pool on 31 January for the following summer season or on 31 August for the following winter season if the undertaking does not hold an operating licence or equivalent on that date or if it is not stated by the competent licensing authority that it is likely that an operating licence or equivalent will be issued before the relevant scheduling period commences.
3. The coordinator shall withdraw and place in the pool the series of slots of an air carrier which it has received following an exchange pursuant to Article 9(1)(c) if they have not been used as intended.
4. Air carriers that repeatedly and intentionally operate air services at a time significantly different from the allocated slot as part of a series of slots or uses slots in a significantly different way from that indicated at the time of allocation and thereby cause prejudice to airport or air traffic operations shall lose their status as referred to in Article 8(2). The coordinator may decide to withdraw from that air carrier the series of slots in question for the remainder of the scheduling period and place them in the pool after having heard the position of the air carrier concerned and after issuing a single warning.
5. Member States shall ensure that effective, proportionate and dissuasive sanctions or equivalent measures are available to deal with repeated and intentional operation of air services at times significantly different from the allocated slots or with the use of slots in a significantly different way from that indicated at the time of allocation, where this causes prejudice to airport or air traffic operations.
6. Without prejudice to Article 12(4), if the 80 % usage rate as defined in Article 8(2) cannot be achieved by an air carrier, the coordinator may decide to withdraw from that air carrier the series of slots in question for the remainder of the scheduling period and place them in the pool after having heard the position of the air carrier concerned.

Without prejudice to Article 12(4), if after an allotted time corresponding to 20 % of the period of the series validity no slots of that series of slots have been used, the coordinator shall place the series of slots in question in the pool for the remainder of the scheduling period, after having heard the position of the air carrier concerned.

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| ↓ 793/2004 Art. 1 pt. 10 |
|--------------------------|

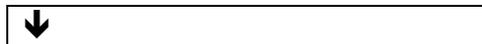
## *Article 18*

### **Report and cooperation**

1. The Commission shall submit a report to the European Parliament and the Council on the operation of this Regulation at the latest three years after 30 July 2004 . The report shall address in particular the functioning of Articles 8, 9 and 12.

2. Member States and the Commission shall cooperate in the application of this Regulation, particularly as regards the collection of information for the report mentioned in paragraph 1.

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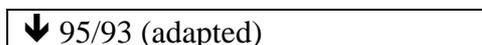
*Article 19*

**Repeal**

Regulation (EEC) No 95/93 is repealed.

References to the repealed Regulation shall be construed as references to this Regulation and shall be read in accordance with the correlation table in Annex II.

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*Article 20*

**Entry into force**

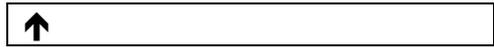
This Regulation shall enter into force on the ☒ twentieth ☒ day following that of its publication in the *Official Journal of the European Union* .

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, [...]

*For the European Parliament*  
*The President*  
[...]

*For the Council*  
*The President*  
[...]



**ANNEX I**

**Repealed Regulation with list of its successive amendments**

|  |                              |
|--|------------------------------|
| Council Regulation (EEC) No 95/93  | (OJ L 14, 22.1.1993, p.1)    |
| Regulation (EC) No 894/2002 of the European Parliament and of the Council  | (OJ L 142, 31.5.2002, p. 3)  |
| Regulation (EC) No 1554/2003 of the European Parliament and of the Council | (OJ L 221, 4.9.2003, p. 1)   |
| Regulation (EC) No 793/2004 of the European Parliament and of the Council  | (OJ L 138, 30.4.2004, p. 50) |
| Regulation (EC) No 545/2009 of the European Parliament and of the Council  | (OJ L 167, 29.6.2009, p. 24) |

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## ANNEX II

### CORRELATION TABLE

| Regulation (EEC) No 95/93                                     | This Regulation                                      |
|---|--|
| Articles 1 and 2  | Articles 1 and 2                                     |
| Article 3(1)(a)   | Article 3(1) first subparagraph                      |
| Article 3(1)(b)   | Article 3(1) second subparagraph                     |
| Article 3(2) to (7)   | Article 3(2) to (7)                                  |
| Article 4   | Article 4  |
| Article 5(1) first subparagraph                               | Article 5(1) first subparagraph                      |
| Article 5(1) second subparagraph (a) first to seventh indents | Article 5(1) second subparagraph (a)(i) to (vii)     |
| Article 5(1)(b)   | Article 5(1)(b)                                      |
| Article 5(2) and (3)  | Article 5(2) and (3)                                 |
| Articles 6 and 7  | Articles 6 and 7                                     |
| Article 8(1)  | Article 8(1)   |
| Article 8(2) first subparagraph introductory wording          | Article 8(2) first subparagraph introductory wording |
| Article 8(2) first subparagraph, first and second indents     | Article 8(2) first subparagraph (a) and (b)          |
| Article 8(2) second subparagraph                              | Article 8(2) second subparagraph                     |
| Article 8(3) to (7)   | Article 8(3) to (7)                                  |
| Article 8a  | Article 9  |
| Article 8a(1)   | Article 9(1)   |
| Article 8a(2)   | Article 9(2)   |
| Article 8a(3)(a)  | Article 9(3) first subparagraph                      |
| Article 8a(3)(b)  | Article 9(3) second subparagraph                     |
| Article 8a(3)(c)  | Article 9(3) third subparagraph                      |
| Article 8b first sentence                                     | Article 10 first paragraph                           |

|  |  |
|--|--|
| Article 8b second and third sentences            | Article 10 second paragraph                  |
| Article 9  | Article 11                                   |
| Article 10(1), (2) and (3)                       | Article 12(1), (2) and (3)                   |
| Article 10(4)(a) first, second and third indents | Article 12(4)(a)(i) (ii) and (iii)           |
| Article 10(4)(b) (c) and (d)                     | Article 12(4)(b) (c) and (d)                 |
| Article 10(5) to (9)                             | Article 12(5) to (9)                         |
| Article 10a                                      | Article 13                                   |
| Article 11                                       | Article 14                                   |
| Article 12                                       | Article 15                                   |
| Article 13(1), (2) and (3)                       | Article 16(1), (2) and (3)                   |
| Article 13(4)                                    | —  |
| Article 14(1) to (5)                             | Article 17(1) to (5)                         |
| Article 14(6)(a) and (b)                         | Article 17(6) first and second subparagraphs |
| Article 14a                                      | Article 18                                   |
| —  | Article 19                                   |
| Article 15                                       | Article 20                                   |
| —  | Annex I                                      |
| —  | Annex II                                     |